

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 12/31/15

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Faegre Baker Daniels LLP

6269

(c) Business Address(es) of Registrant

1050 K St. NW, Washington, DC 20001

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
David C. Lyons	Government Affairs Advisor	Japan Ministry of Agriculture Forestry and Fisheries	09/30/2015

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☒ No ☐
If yes, furnish the following information:

Foreign Principal
Japan Ministry of Agriculture Forestry and Fisheries

Date of Termination
09/30/2015

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

None other than the Japan Ministry of Agriculture Forestry and Fisheries

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

The firm's agreement with the Japan Ministry of Agriculture, Forestry and Fisheries requires providing background information on agricultural and agricultural trade issues. It does not require or call for political activities or lobbying. The firm has provided information based on the personal knowledge and experience of the individual working on the project as well as sources in the public domain, including news articles concerning Congressional action on Trade Promotion Authority, developments on TPP negotiations and other issues of interest to the Ministry. The firm has not initiated conversations with US government officials to obtain information for this client.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
10/13/2015	Japan Ministry of Agriculture Forestry and Fisheries	Consulting fee	\$13,000
11/13/2015	Japan Ministry of Agriculture Forestry and Fisheries	Consulting fee	\$13,000
			\$26,000
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

No, our role is to provide information and analysis, which requires no disbursement.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
See attachment	See attachment 1	See attachment 1	See attachment 1

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☐ No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

N/A

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) N/A

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) N/A

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) N/A

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) N/A

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)January 28, 2016/s/ David C. LyonseSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

ATTACHMENT 1

Question 15(c) During this 6 month reporting period, have you from your own funds on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Date	Political Organization or Candidate	Amount	Location of Event
7/6/15	Re-Elect Dick Moore for Mayor	400	N/A - Campaign contribution
7/8/15	Matt Lehman for State Representative	300	N/A - Campaign contribution
7/15/15	Fadness for Fishers	1000	N/A - Campaign contribution
7/20/15	Friends of Chuck Brewer	100	N/A - Campaign contribution
7/20/15	Jessup for Lawrence Committee	1600	N/A - Campaign contribution
7/23/15	Friends of Chuck Brewer	1000	N/A - Campaign contribution
7/23/15	Kelly for Indiana	1000	N/A - Campaign contribution
7/28/15	Friends of Suzanne Crouch	2500	N/A - Campaign contribution
7/28/15	Henry for Mayor	7500	N/A - Campaign contribution
7/29/15	Pete for South Bend Committee	4000	N/A - Campaign contribution
7/30/15	Committee to Elect Duke Bennett for Mayor	5000	N/A - Campaign contribution
7/30/15	Curry for Prosecutor	1000	N/A - Campaign contribution
8/10/15	Hendricks County Republican Party	500	N/A - Party contribution
8/27/15	Committee to Elect Robert Behning	250	N/A - Campaign contribution
8/27/15	Committee to Elect Jon Ford	300	N/A - Campaign contribution
8/27/15	Friends for Karickhoff	300	N/A - Campaign contribution
8/27/15	Committee to Elect Dan Leonard	300	N/A - Campaign contribution
8/27/15	Friends of Dough Gutwein	300	N/A - Campaign contribution
8/27/15	Committee to Elect Christina Hale	300	N/A - Campaign contribution
8/27/15	Bob Morris for State Representative	300	N/A - Campaign contribution
8/27/15	Jean Leising for State Senate	300	N/A - Campaign contribution
8/27/15	Cindy Meyer Ziemke for State Rep.	250	N/A - Campaign contribution
8/27/15	Friends of Charlie Brown	250	N/A - Campaign contribution
8/27/15	Neighbors for Justin Moed	250	N/A - Campaign contribution
8/27/15	Friends of David Ober	250	N/A - Campaign contribution
8/27/15	VoteCarbaugh.com	250	N/A - Campaign contribution
8/27/15	Wendy McNamara for State Representative	250	N/A - Campaign contribution
8/27/15	Niezgodski for State Representative Dist. 7	250	N/A - Campaign contribution
8/27/15	Committee to Elect Melanie Wright State Rep.	250	N/A - Campaign contribution
8/27/15	Karlee D. Macer for State Representative 92	250	N/A - Campaign contribution
8/27/15	Citizens to Elect Meer	500	N/A - Campaign contribution
8/27/15	Hogsett for Indianapolis	20000	N/A - Campaign contribution
8/27/15	Committee to Re-Elect Thomas M. McDermott, Jr.	1000	N/A - Campaign contribution
9/3/15	Bill Kavanaugh for Town Council	500	N/A - Campaign contribution
9/3/15	Indiana State Republican Committee	2500	N/A - Party contribution
9/14/15	Citizens to Elect Dennis Julius	2500	N/A - Campaign contribution
9/15/15	Wesco Victory Committee	250	N/A - Campaign contribution
9/15/15	McQuillen for City Council	2000	N/A - Campaign contribution

9/15/15	Senate Majority Campaign Committee	10000	N/A - Party contribution
9/15/15	GIRFCO (Marion County Republican Party)	2500	N/A - Party contribution
9/15/15	Neese for Mayor	500	N/A - Campaign contribution
9/15/15	Jeff Gahan for Mayor of New Albany	1000	N/A - Campaign contribution
9/15/15	Friends of Sue Errington	250	N/A - Campaign contribution
9/15/15	Kelly for Indiana	2500	N/A - Campaign contribution
9/15/15	Myla for Clerk	2500	N/A - Campaign contribution
9/15/15	Maggie Lewis Committee	2500	N/A - Campaign contribution
9/16/15	Jeffersonville Political Action Committee	1000	N/A - Party contribution
9/22/15	Committee to Elect Holli Sullivan	300	N/A - Campaign contribution
9/22/15	Our Community for Casey Cox	300	N/A - Campaign contribution
9/22/15	Committee to Re-Elect Thomas M. McDermott, Jr.	7000	N/A - Campaign contribution
9/24/15	Citizens to Elect Dennis Julius	2500	N/A - Campaign contribution
9/24/15	David Long for State Senate	1000	N/A - Campaign contribution
9/24/15	Jeff Gahan for Mayor of New Albany	500	N/A - Campaign contribution
9/24/15	Riecken for Mayor	500	N/A - Campaign contribution
10/7/15	Jeff Gahan for Mayor of New Albany	500	N/A - Campaign contribution
10/8/15	LEAP - Political Action Committee	5000	N/A - PAC contribution
10/12/15	Bob Caesar for City Council	500	N/A - Campaign contribution
10/12/15	Bassler Campaign Committee	200	N/A - Campaign contribution
10/12/15	Rodric D. Bray for State Senate	250	N/A - Campaign contribution
10/12/15	Citizens for Buck	250	N/A - Campaign contribution
10/12/15	Citizens for Crider	200	N/A - Campaign contribution
10/12/15	Friends of Doug Eckerty	250	N/A - Campaign contribution
10/12/15	Sue Glick for Senate	200	N/A - Campaign contribution
10/12/15	Hershman for Senate	1000	N/A - Campaign contribution
10/12/15	Elect Luke Kenley	1000	N/A - Campaign contribution
10/12/15	Citizens for Kruse	300	N/A - Campaign contribution
10/12/15	Citizens for Merritt	250	N/A - Campaign contribution
10/12/15	Markmessmer.com	250	N/A - Campaign contribution
10/12/15	Mishler for State Senate Committee	250	N/A - Campaign contribution
10/12/15	Vote Chip Perfect	200	N/A - Campaign contribution
10/12/15	Raatz for Indiana State Senate Committee	200	N/A - Campaign contribution
10/12/15	Taxpayers for Greg Walker	250	N/A - Campaign contribution
10/12/15	Carlin Yoder 2008	250	N/A - Campaign contribution
10/12/15	Lloyd Arnold for State Representative	200	N/A - Campaign contribution
10/12/15	John L. Bartlett House 95 Committee	200	N/A - Campaign contribution
10/12/15	Greg Beumer for State Representative Committee	200	N/A - Campaign contribution
10/12/15	Citizens for Tim Brown	1000	N/A - Campaign contribution
10/12/15	Bill Friend for State Representative Committee	250	N/A - Campaign contribution
10/12/15	Friends for Frizzell	200	N/A - Campaign contribution
10/12/15	Friends of Randy Frye	250	N/A - Campaign contribution
10/12/15	Todd Huston for State Representative	300	N/A - Campaign contribution
10/12/15	Cindy for State House Committee	250	N/A - Campaign contribution
10/12/15	Eric Koch for State Representative	250	N/A - Campaign contribution
10/12/15	Friends of Jim Lucas	200	N/A - Campaign contribution
10/12/15	Committee to Elect Kevin Mahan State Rep.	250	N/A - Campaign contribution

10/12/15	Committee to Elect Alan Morrison	200	N/A - Campaign contribution
10/12/15	Elect Negele for State Representative	250	N/A - Campaign contribution
10/12/15	Friends of Tom Saunders	250	N/A - Campaign contribution
10/12/15	Committee to Elect Ben Smaltz	250	N/A - Campaign contribution
10/12/15	Elect Milo Smith State Rep.	200	N/A - Campaign contribution
10/12/15	Friends for Mike Speedy	250	N/A - Campaign contribution
10/12/15	Steven R. Stemler Election Committee	250	N/A - Campaign contribution
10/12/15	Citizens for Jeff Thompson	250	N/A - Campaign contribution
10/12/15	Torr for Representative Committee	400	N/A - Campaign contribution
10/12/15	Friends of Truitt for State Representative	250	N/A - Campaign contribution
10/12/15	Committee to Elect Matt Ubelhor	200	N/A - Campaign contribution
10/12/15	Bassler Campaign Committee	200	N/A - Campaign contribution
10/13/15	Committee to Elect Hal Slager	250	N/A - Campaign contribution
10/20/15	Reception for State Sen. David Long	407.80	Des Moines, Iowa
10/22/15	Gregg for Indiana	5000	N/A - Campaign contribution
10/26/15	Ed Clere for State Representative	300	N/A - Campaign contribution
10/28/15	Friends of Jim Brainard Committee	1500	N/A - Campaign contribution
10/28/15	Ted Danton for Mayor	500	N/A - Campaign contribution
10/28/15	House Republican Campaign Committee (Indiana)	2500	N/A - Party contribution
10/28/15	Committee to Elect Brian Bosma	2500	N/A - Campaign contribution
10/28/15	Steuerwald for State Rep.	400	N/A - Campaign contribution
10/29/15	Committee to Elect Benjamin Hunter	250	N/A - Campaign contribution
11/19/15	Friends of Edward M. Burke	1000	Chicago, Illinois
12/10/15	Indiana House Democratic Caucus	2000	N/A - Party contribution
12/14/15	Mike Pence for Indiana	22500	N/A - Campaign contribution
12/15/15	Gregg for Indiana	5000	N/A - Campaign contribution
12/15/15	Andy Cook for Mayor	2500	N/A - Campaign contribution
12/16/15	Committee to Elect Christina Hale	250	N/A - Campaign contribution
12/16/15	Ed DeLaney for Our House	500	N/A - Campaign contribution
12/18/15	Hogsett for Indianapolis	10000	N/A - Campaign contribution
12/18/15	Indiana Democratic State Central Committee	5000	N/A - Party contribution
12/18/15	Indy Chamber PAC	5000	N/A - PAC contribution
12/18/15	Neese for Mayor	500	N/A - Campaign contribution
12/18/15	Elect Luke Kenley	1000	N/A - Campaign contribution
12/18/15	Indiana Senate Democratic Committee	1000	N/A - Party contribution
12/18/15	Greg Taylor for State Senate Committee	1000	N/A - Campaign contribution
12/18/15	Monroe Gray for City County Council	250	N/A - Campaign contribution
12/18/15	Vop Osili for City Council	250	N/A - Campaign contribution
12/18/15	Leroy Robinson for City Council	250	N/A - Campaign contribution
12/18/15	McQuillen for City Council	500	N/A - Campaign contribution
12/18/15	Fanning for City County Council	250	N/A - Campaign contribution
12/18/15	Miller for City County Council	250	N/A - Campaign contribution
12/18/15	David Ray for Council	250	N/A - Campaign contribution
12/18/15	Johnson for Council	250	N/A - Campaign contribution
12/18/15	Kreider for City County Council	250	N/A - Campaign contribution
12/18/15	Cordi for City County Council	250	N/A - Campaign contribution
12/18/15	Evans City County Council	250	N/A - Campaign contribution

All above contributions directed to state and local candidates and local political parties in Indiana and Illinois.

Question 15(c) continued – Disbursements made by short-form registrant, David C. Lyons:

Date	Political Organization or Candidate	Amount
10/7/15	Friends of Kip Tom	500

Question 15 (c) continued – During the 6 month reporting period, David C. Lyons contributed \$2437.44 to the FaegreBD Political Action Committee through payroll deduction.